

<b>TRANSMITTAL LETTER</b>			Case No. 6270/128
Serial No. 10/689,895	Filing Date October 21, 2003	Examiner Unassigned	Group Art Unit Unassigned
Inventor(s) Ransom et al.			
Title of Invention SYSTEM AND METHOD FOR IMPLEMENTING XML ON AN ENERGY MANAGEMENT DEVICE			

TO THE COMMISSIONER FOR PATENTS

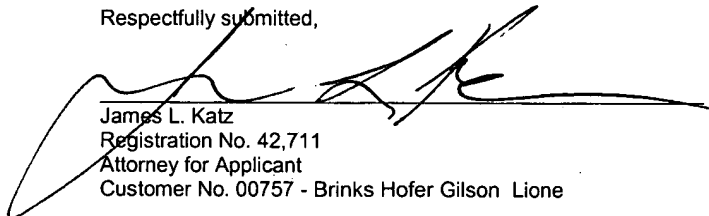
Transmitted herewith is First Supplemental Information Disclosure Statement; Form PTO-1449; Copy of cited reference B5; Postcard receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

				Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 43=		x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=		+\$290=	
					Total add'l fee	\$	Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
James L. Katz  
Registration No. 42,711  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450, on December 23, 2003.

Date: 12-23-03

Signature: 



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 23, 2003

Date of Deposit

James L. Katz, (Reg. No. 42,711)

Name of Applicant, Assignee or  
Registered Representative

Signature

12-23-03

Date of Signature

Our Case No.: 6270/128

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Ransom et al.

Serial No.: 10/689,895

Filing Date: October 21, 2003

For: SYSTEM AND METHOD FOR  
IMPLEMENTING XML ON AN  
ENERGY MANAGEMENT DEVICE

Examiner: Unassigned

Group Art Unit No.: Unassigned

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the

Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

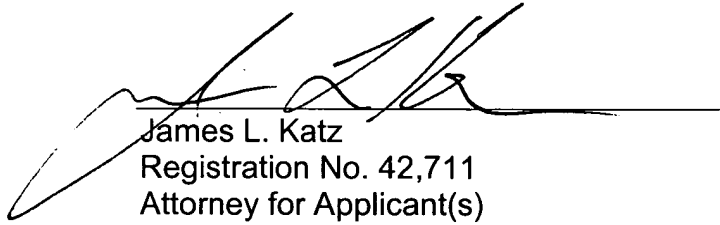
DOCUMENT NUMBER	DATE	NAME
6,005,759	12/21/99	Hart et al.
6,553,418 B1	04/22/03	Collins et al.
US 2001/0039537 A1	08/08/01	Carpenter et al.
US 2003/0176952 A1	09/18/03	Collins et al.
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>		
David Mueller and Sandy Smith, Electrotek Concepts, "Using Web-based Power Quality Monitoring for Problem Solving and Improving Customer Relations", proceedings of the 4 <sup>th</sup> Annual Latin American Power 99 Conference, Jun. 29, 1999, pp. 263-271.		

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this First Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

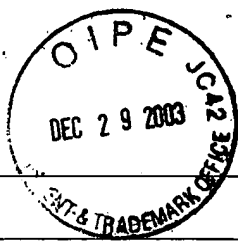
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



James L. Katz  
Registration No. 42,711  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/689,895	CASE NO. 6270/128
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE October 21, 2003	GROUP ART UNIT Unassigned
APPLICANT(S): Ransom et al.		

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	6,005,759	12/21/99	Hart et al.	361/66	03/16/98
	B2	6,553,418 B1	04/22/03	Collins et al.	709/224	01/02/99
	B3	2001/0039537 A1	08/08/01	Carpenter et al.	705/400	03/02/01
	B4	2003/0176952 A1	09/18/03	Collins et al.	700/286	03/13/03

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	B5	David Mueller and Sandy Smith, Electrotek Concepts, "Using Web-based Power Quality Monitoring for Problem Solving and Improving Customer Relations", proceedings of the 4 <sup>th</sup> Annual Latin American Power 99 Conference, Jun. 29, 1999, pp. 263-271.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.